



Atty. Dkt. No. 062834-0166

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PATENT AND TRADEMARK OFFICE EMPLOYEE

*1/23/03*  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: George H. HOFFMAN, et al.

Title: SYSTEM, METHOD AND  
COMPUTER PROGRAM  
PRODUCT FOR A MACHINERY  
SUPPLY CHAIN MANAGEMENT  
FRAMEWORK

Appl. No.: 09/816,212

Filing Date: 03/23/2001

Examiner: Unassigned GORT

Art Unit: 2171 3627

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JAN 27 2003  
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**PROPRIETARY INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.56 AND MPEP § 724**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

JAN 29 2003

The following is a listing of co-pending U.S. patent applications, which relate(s) to the above-identified application.

**GROUP 3**

<b>SERIAL NO.</b>	<b>FILING DATE</b>	<b>SERIAL NO.</b>	<b>FILING DATE</b>
09/815,729	March 23, 2001	09/815,759	March 23, 2001
09/815,463	March 23, 2001	09/815,777	March 23, 2001
09/815,489	March 23, 2001	09/815,792	March 23, 2001
09/815,490	March 23, 2001	09/815,813	March 23, 2001
09/815,515	March 23, 2001	09/815,830	March 23, 2001
09/815,559	March 23, 2001	09/815,845	March 23, 2001
09/815,580	March 23, 2001	09/815,864	March 23, 2001
09/815,590	March 23, 2001	09/815,893	March 23, 2001
09/815,598	March 23, 2001	09/815,894	March 23, 2001
09/815,606	March 23, 2001	09/815,897	March 23, 2001
09/815,660	March 23, 2001	09/815,899	March 23, 2001
09/815,668	March 23, 2001	09/815,973	March 23, 2001
09/815,688	March 23, 2001	09/815,989	March 23, 2001
09/815,715	March 23, 2001	09/816,021	March 23, 2001
09/815,725	March 23, 2001	09/816,033	March 23, 2001
09/815,727	March 23, 2001	09/816,048	March 23, 2001
09/815,731	March 23, 2001	09/816,069	March 23, 2001
09/815,734	March 23, 2001	09/816,075	March 23, 2001

002.955943.1

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*7/1/04*

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<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>SERIAL NO.</u>	<u>FILING DATE</u>
09/816,083	March 23, 2001	09/816,431	March 23, 2001
09/816,092	March 23, 2001	09/816,434	March 23, 2001
09/816,101	March 23, 2001	09/816,454	March 23, 2001
09/816,121	March 23, 2001	09/816,455	March 23, 2001
09/816,151	March 23, 2001	09/816,471	March 23, 2001
09/816,160	March 23, 2001	09/816,488	March 23, 2001
09/816,167	March 23, 2001	09/816,491	March 23, 2001
09/816,187	March 23, 2001	09/816,412	March 23, 2001
09/816,203	March 23, 2001	09/816,495	March 23, 2001
09/816,231	March 23, 2001	09/816,503	March 23, 2001
09/816,249	March 23, 2001	09/816,507	March 23, 2001
09/816,268	March 23, 2001	09/816,536	March 23, 2001
09/816,269	March 23, 2001	09/816,537	March 23, 2001
09/816,285	March 23, 2001	09/816,543	March 23, 2001
09/816,296	March 23, 2001	09/816,553	March 23, 2001
09/816,314	March 23, 2001	09/816,555	March 23, 2001
09/816,331	March 23, 2001	09/816,560	March 23, 2001
09/816,349	March 23, 2001	09/816,561	March 23, 2001
09/816,357	March 23, 2001	09/816,564	March 23, 2001
09/816,358	March 23, 2001	09/816,565	March 23, 2001
09/816,388	March 23, 2001	09/816,567	March 22, 2001
09/816,413	March 23, 2001	09/816,576	March 23, 2001
09/816,414	March 23, 2001	09/816,582	March 23, 2001
09/816,420	March 23, 2001	09/816,881	March 23, 2001
09/816,421	March 23, 2001	09/816,896	March 23, 2001
09/816,422	March 23, 2001	09/816,922	March 23, 2001
09/816,424	March 23, 2001	09/816,944	March 23, 2001
09/816,426	March 23, 2001	09/816,976	March 23, 2001
09/816,427	March 23, 2001	09/834,465	April 13, 2001
09/816,428	March 23, 2001	09/834,600	April 13, 2001
09/816,429	March 23, 2001	09/834,838	April 13, 2001
09/816,430	March 23, 2001	09/834,924	April 13, 2001

Applicant considers the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. This Information Disclosure Statement is not intended as an admission either that the listed applications constitute prior art against the claims of the present application or that the listed applications are material to patentability as defined in 37 C.F.R. §1.56(b).

Applicant assumes that the examiner will have access to all of the references cited in the applications. However, if the examiner wishes applicants to submit copies of the references cited in the listed applications, the examiner is encouraged to inform applicants.

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<p>Substitute for form 1449B/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>Date Submitted: October 18, 2002</p> <p>(use as many sheets as necessary)</p>															
		<p><b>Complete if Known</b></p> <table border="1"> <tr> <td>Application Number</td> <td>09/816,212</td> </tr> <tr> <td>Filing Date</td> <td>03/23/2001</td> </tr> <tr> <td>First Named Inventor</td> <td>George H. HOFFMAN</td> </tr> <tr> <td>Group Art Unit</td> <td>2121 3627</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned GORT</td> </tr> <tr> <td>Attorney Docket Number</td> <td>062834-0166</td> </tr> </table>		Application Number	09/816,212	Filing Date	03/23/2001	First Named Inventor	George H. HOFFMAN	Group Art Unit	2121 3627	Examiner Name	Unassigned GORT	Attorney Docket Number	062834-0166
Application Number	09/816,212														
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First Named Inventor	George H. HOFFMAN														
Group Art Unit	2121 3627														
Examiner Name	Unassigned GORT														
Attorney Docket Number	062834-0166														
Sheet	1	of	1												

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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T6

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## GROUP 3600

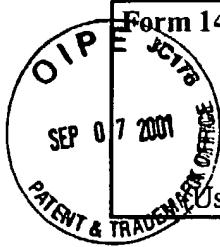
Examiner Signature	 	Date Considered	7/1/04
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

**5**Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. **6**Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



Form 1449 (Modified)

Information Disclosure  
Statement By Applicant

Use Several Sheets if Necessary)

Atty. Docket No.  
RSI1P037

Applicant:  
George Harry Hoffman, et al.

Filing Date:  
3/23/01

Application No.:  
09/816,212

Group Art Unit:  
2171 3627

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SEP 07 2001

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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
EJ	A	6,167,380	12/26/00	Kennedy et al.	705	10	02/18/97
	B	6,151,582	11/21/00	Huang et al.	705	8	02/24/97
	C	6,115,690	09/05/00	Wong	705	7	12/22/97
	D	5,983,198	11/09/99	Mowery et al.	705	22	04/23/96
	E	5,974,395	10/26/99	Bellini et al.	705	9	08/21/96
	F	5,963,919	10/05/99	Brinkley et al.	705	28	12/23/96
	G	5,953,707	09/14/99	Huang et al.	705	10	02/21/97
	H	5,914,878	06/22/99	Yamamoto et al.	364	468.13	07/22/97
	I	5,884,300	03/16/99	Brockman	707	2	05/01/97
	J	5,774,868	06/30/98	Cragun et al.	705	10	12/23/94
	K	5,771,172	06/23/98	Yamamoto et al.	364	468.13	07/22/97
	L	5,765,143	06/09/98	Sheldon et al.	705	28	03/10/95
	M	5,687,322	11/11/97	Deaton et al.	395	214	06/01/95

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
EJ	N	00/51038	31.08.00	WO- PCT	G06F	17/40		
EJ	O	99/67729	29.12.99	WO- PCT	G06F	17/60		
Examiner	Date Considered				7/1/04			

6/17/04

Form 1449 (Modified)	Atty. Docket No. RSI1P037	Application No.: 09/816,212
Information Disclosure Statement By Applicant	Applicant: George Harry Hoffman, et al.	
(Use Several Sheets if Necessary)	Filing Date: 3/23/01	Group Art Unit: 2627

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SEP 07 2001  
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>ED</i>	P	<a href="http://www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html">www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html</a> - "Supply chain gets cold cuts"
	Q	<a href="http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html">http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html</a> - "Cover Story: Supply Chain Management Quarterly Efficient Foodservice Response Moving Towards Efficiency"
	R	<a href="http://www.localbusiness.com/Story/Print/0,1197,NOCITY 55953,00.html">http://www.localbusiness.com/Story/Print/0,1197,NOCITY 55953,00.html</a> "MindFlow: Purchasing Savvy"
	S	<a href="http://freespace.virgin.net/heathcote.echain/white_paper_4.htm">http://freespace.virgin.net/heathcote.echain/white_paper_4.htm</a> "e-Chain Solutions Limited, White Paper 4 - Efficient Foodservice Response (EFR)"
	T	<a href="http://www.startmag.com/v3n9/v3n9p060.asp">http://www.startmag.com/v3n9/v3n9p060.asp</a> "Start - November 1999, v3n9 The Virtual Supply Chain"
	U	<a href="http://www.p21.com/acclaim/supply-chain.html">http://www.p21.com/acclaim/supply-chain.html</a> "Supply Chain Management"
	V	<a href="http://www.sap.com/press/fs_scm.htm">http://www.sap.com/press/fs_scm.htm</a> "mySAP.com™ Supply Chain Management"
	W	<a href="http://www.foodlogistics.com/esupply/esupply/section3.html">http://www.foodlogistics.com/esupply/esupply/section3.html</a> "An E-Powered Supply Chain"
	X	<a href="http://www.supplychainbrain.com/news/">http://www.supplychainbrain.com/news/</a> "Supply Chain Brain Quick Read Newsletter February 21, 2001"
Examiner		Date Considered
<i>ED</i>		<i>7/1/04</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.